



# Webinar for Member States' peer-learning on the DNSH principle

Governance processes for applying the DNSH principle

Thursday 26<sup>th</sup> January 2023 • Online

TSI project Methodology for the application of the DNSH  
principle at the national level in Czechia



Funded by European Union



# WELCOME

## Webinar for Member States' peer-learning on the DNSH principle



Use the Q&A  
function for posing  
questions



Mention “name of  
the Member State”  
or “panel” with  
your question



Summary report  
available in March



Add a “thumbs up”  
to the questions that  
you wish to be  
discussed



Follow-up questions  
on the project to  
[DNSH@trinomics.eu](mailto:DNSH@trinomics.eu)



Webinar is being  
recorded

## Part I: Introduction and setting the scene

10.00 - 10.05	<b>Welcome:</b> presentation of the agenda, introduction to the project, and expected outcomes of the webinar	<i>Nikola Blokešová, Office of the Government (Czechia)</i>
10.05 - 10.15	<b>Setting the scene:</b> introducing the challenges related to governance processes for applying the DNSH principle	<i>Julian Toth (ISFC)</i>
10.15 - 10.35	<b>Context in Czechia:</b> Introducing the framework guidelines and the governance of their application across Ministries	<i>Richard Juřík, Ministry of the Environment (Czechia)</i>
10.35 - 10.55	<b>Focus case 1:</b> Creation of a capacity centre in Spain	<i>Lucía Cobo, Ministry of the Ecological Transition and the Demographic Challenge (Spain)</i>
10.55 - 11.15	<b>Focus case 2:</b> Creation of a helpdesk on DNSH in Belgium	<i>Stéphanie Baclin, Federal Public Service Health, Food Chain Safety and Environment (Belgium)</i>
11.15 - 11.30	Coffee break	

## Part II: Panel discussion - Governance processes for applying the DNSH principle

11.30 - 12.20	<p><b>Moderator:</b> Julian Toth (ISFC)</p> <p><b>Panel Members:</b></p> <ul style="list-style-type: none"> <li>▪ <b>Richard Juřík</b>, <i>Ministry of the Environment (Czechia)</i></li> <li>▪ <b>Lucía Cobo</b>, <i>Ministry of the Ecological Transition and the Demographic Challenge (Spain)</i></li> <li>▪ <b>Stéphanie Baclin</b>, <i>Federal Public Service Health, Food Chain Safety and Environment (Belgium)</i></li> <li>▪ <b>Emma Terämä</b>, <i>Ministry of the Environment (Finland)</i></li> <li>▪ <b>Lenka Růžičková</b>, <i>Ministry of Regional Development (Czechia)</i></li> </ul>	
12.20 - 12.25	Reflection on main takeaways panel discussion	Jeroen van der Laan (Trinomics)
12.25 - 12.30	Wrap-up and closing	Riikka Torppa (European Commission, DG REFORM)



# Setting the scene

Introducing the webinar's theme and challenges

## Governance processes:

- Process & practice
- Roles & responsibilities
- Data & communication

## Key considerations:



Multiple national level programmes owned and managed by different ministries / components of the NRP owned and implemented by different public institutions.



Development and timing of DNSH (and CP) guidance at the national level



Inconsistencies in the experience and knowledge base on the DNSH-related topics across entities managing public investments

## Decentralisation & incoherent approach

- Identification and communication of the DNSH requirements to applicants
  - Lack of alignment in how requirements are communicated, and responsibility shifted
  - Inconsistencies in the level of detail and documentation provided
- Uncertain sufficiency of the current approach across owners

## Communication

- Fragmentation and lack of communication and alignment across entities

## Complexity

- Inconsistencies in the detail of instructions provided to applicants
- Additional administrative burden for applicants and involved stakeholders

## Data & information

- Limited solutions to collection, sharing and leveraging existing/generated data (e.g. data repositories, platforms, resources).

# Czechia

Introducing the framework guidelines  
and the governance of their application  
across Ministries



# FRAMEWORK ENVIRONMENTAL GUIDELINES FOR EU FUNDS AND GOVERNANCE IN THE CZECH REPUBLIC

**Richard Juřík**

Deputy Director of Department of Environmental  
Policy and Sustainable Development

Ministry of the Environment



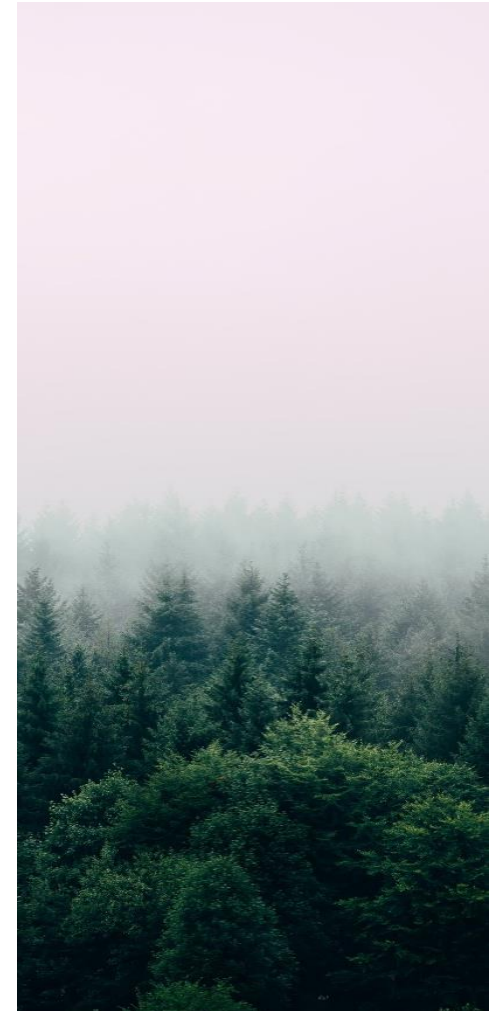
## FRAMEWORK DNSH AND CLIMATE PROOFING GUIDELINES

- Synthesis of the RRF DNSH guidance and climate proofing guidelines
- Building on RRF experience and EC's recommendations on best practices
- Context of the EU Taxonomy and climate / environmental tagging of the EU budget
- Recipient – all managing authorities of EU funds, where DNSH based on Art. 17 of the Taxonomy regulation is mandatory; addition of guidance on climate proofing for EU funds under Common Provisions Regulation
- Focus on environmental materiality translated into common-level recommendations for EU funds' implementation



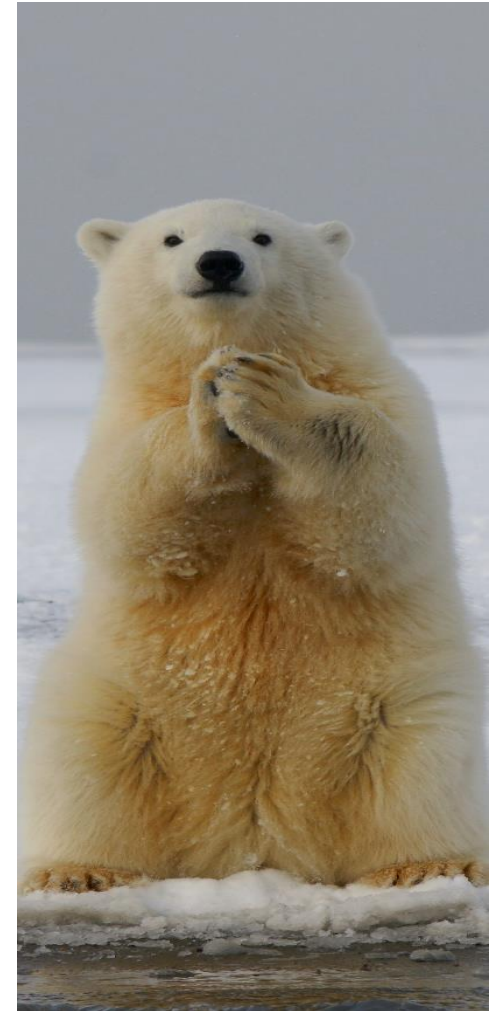
## FRAMEWORK GUIDELINES - DNSH AND CLIMATE PROOFING ASSESSMENT PHASE

- Managing authorities should conduct both DNSH and where possible climate proofing assessment on the level of the calls or higher strategic level
- The applicants should be informed with concrete technical requirements to be implemented in the projects
- Proposing flexibility and proportionality – compliance with DNSH also through support intensity and / or bonification of environmentally ambitious projects
- Specification of the infrastructure definition for climate proofing



## FRAMEWORK GUIDELINES - DNSH AND CLIMATE PROOFING IMPLEMENTATION PHASE

- DNSH - defining for each envi. objectives common-ground recommendations when the managing authorities should implement higher environmental ambition above the current legislative framework
- Climate proofing – building on the EC’s guidance, but filling certain gaps, esp. simplified climate risks overview in the context of the Czech Republic
- Investment specific annexes
  - Construction of new buildings
  - Renovation of existing buildings
  - Construction waste reuse and recycling
  - Transport vehicles purchases



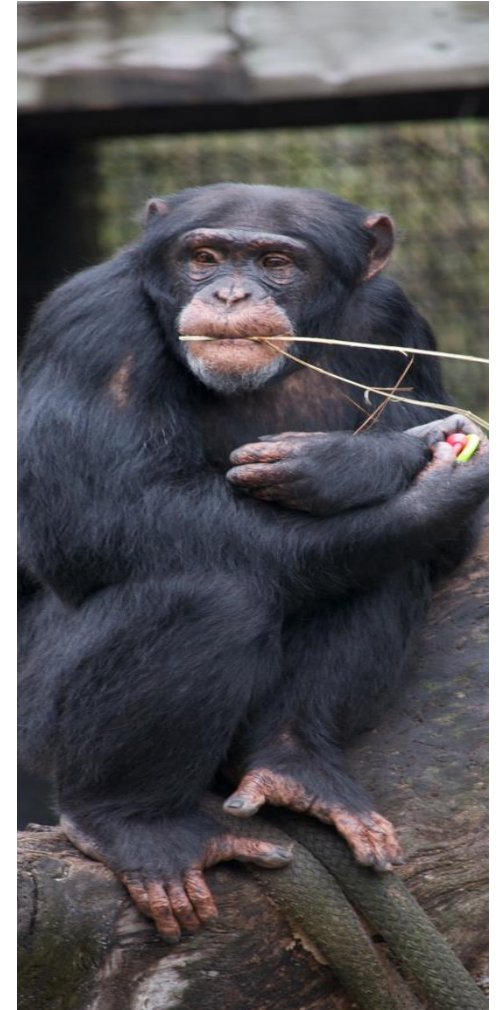
## GOVERNANCE OF DNSH IN NATIONAL RECOVERY PLAN - MINISTRY OF INDUSTRY AND TRADE

MIT's procedural DNSH methodological guidance:

- assessment phase – DNSH self-assessment on the level of the component and negotiation with EC
- identification phase – CID, operational agreement, national documentation
- implementation phase – administration of grants and public procurement
- ex-post monitoring before payment request at EC

Ministry of Industry and Trade coordinates the process, steps of DNSH cycle are formalized.

Ad hoc consultations with the Ministry of the Environment.



## GOVERNANCE OF DNSH AND CLIMATE PROOFING IN CPR FUNDS - MINISTRY OF REGIONAL DEVELOPMENT

- DNSH self-assessment on the level of specific objective of each programme
- Climate proofing usually on the level of projects, but in cases where possible on the level of the call
- EC validates the approach in each programme through monitoring committee
- implementation phase – administration of grants

Framework DNSH and climate proofing guidance (and ad hoc high-level consultations) by the Ministry of the Environment provides non-binding recommendations for managing authorities. Formalization of the process is foreseen after methodological consolidation, taking into account outputs of the TSI project.



**THANK YOU FOR YOUR  
ATTENTION**



# Spain

Creation of a capacity center





# PLAN DE RECUPERACIÓN, TRANSFORMACIÓN Y RESILIENCIA

DNSH TSI Webinar Czechia  
Focus Case of Spain  
26 January 2023

#NextGenerationEU  
#EspañaPuede  
#PlandeRecuperación



VICEPRESIDENCIA  
TERCERA DEL GOBIERNO

MINISTERIO  
PARA LA TRANSICIÓN ECOLÓGICA  
Y EL RETO DEMOGRÁFICO



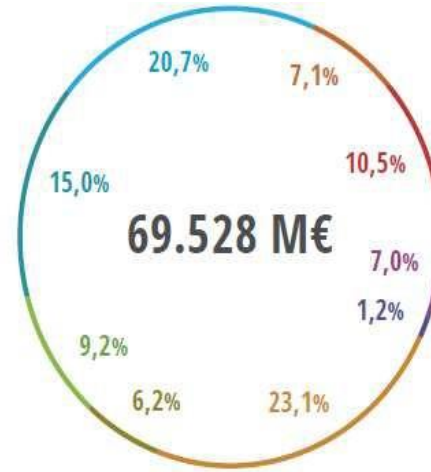
GOBIERNO  
DE ESPAÑA

ESPAÑA  
PUEDE.



## Recovery Plan in Spain: Policies and investment allocation

	I. Urban and rural agenda, territorial cohesion and modernisation of agriculture	14.407 M€
	II. Resilient infrastructures and ecosystems	10.400 M€
	III. A fair and inclusive energy transition	6.385 M€
	IV. An Administration for the 21st century	4.315 M€
	V. Modernisation and digitalisation of industry and SMEs, entrepreneurship and business environment, recovery and transformation of tourism and other strategic sectors	16.075 M€



VI. Promotion of science and innovation and strengthening of the capabilities of the National Health System	4.949 M€	
VII. Education and knowledge, lifelong learning and capacity building	7.317 M€	
VIII. The new care economy and employment policies	4.855 M€	
IX. Promotion of the culture and sports industry	825 M€	
X. Modernisation of the tax system for inclusive and sustainable growth	-	

Source: Spanish RRF Plan official website, [https://www.lamoncloa.gob.es/temas/fondos-recuperacion/Documents/30042021-Presentation\\_Recovery\\_Transformation\\_Resilience\\_Plan.pdf](https://www.lamoncloa.gob.es/temas/fondos-recuperacion/Documents/30042021-Presentation_Recovery_Transformation_Resilience_Plan.pdf)



## Recovery Plan in Spain: Components

### 10 LEVER POLICIES




### 30 COMPONENTS



### 212 MEASURES (110 INVESTMENTS + 102 REFORMS)



## Recovery Plan in Spain: PRTR Governance

- Governance: Royal Decree-Law 36/2020 (measures for the modernisation of the Public Administration and for the implementation of the Recovery, Transformation and Resilience Plan)
    - MRR Responsible and Coordinating Authority: Ministry of Finance, General Directorate for the Recovery and Resilience Plan
    - MRR Control Authority: National Audit Office
  - Management rules: Ministerial Orders HFP/1030/2021 (Management system of the RRF Plan) and HFP/1031/2021 (Information system) addressed to the public sector at national, regional and local level.
    - Decision-making Entities: responsible for measures and components and for supervising and monitoring the implementing bodies' achievement
    - Implementing bodies: execution of milestones and targets
- 
- Each Ministry is ultimately responsible of establishing management and control procedures for their assigned measures and components (antifraud, green and digital tagging, DNSH, state aid, double funding, communication, etc)



## Division for Monitoring the Application of Environmental Legislation (DNSH Division)

National Plan's negotiation: Coordination and assistance functions for all Departments of the Administration.

Creation of **DNSH Division** on December 9, 2021, through [Order TED/1374/2021](#), as an expert administrative entity which reports directly to the Undersecretary for Ecological Transition and the Demographic Challenge.

Functions and responsibilities:

- **Assistance** to Ministries on compliance with the do no significant harm principle and green tagging
- Information, coordination and **supervision of public policies** to ensure they are in accordance with Spanish environmental policy and European regulations of recovery plan.
- **Training sessions** for public administration on DNSH and green tagging
- Creation of **application, execution and monitoring guides** for policy implementation in accordance with DNSH and environmental regulations.

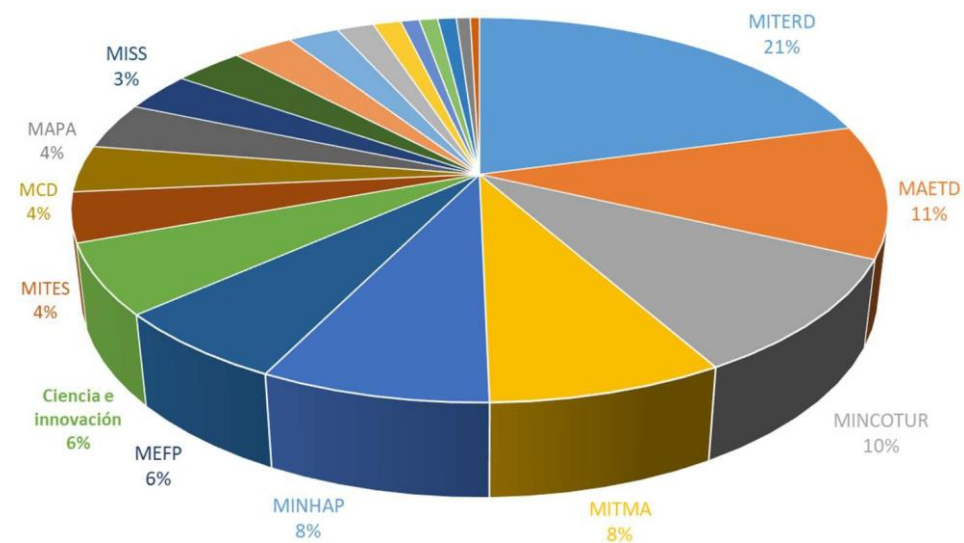




## Cross-cutting exercise: all policies of the Recovery Plan

Organism	Exped. Number	%
E cological Transition and the Demographic Challenge	95	21%
E conomic Affairs and Digital Transformation	51	11%
Industry, Trade and Tourism	45	10%
Transport, Mobility and Urban Agenda	36	8%
Treasury and Public Function	35	8%
Vocational Education and Training	28	6%
Science and Innovation	27	6%
Work and S ocial E conomy	19	4%
Agriculture, Fishing and Food	18	4%
C ulture and S port	18	4%
Inclusion, S ocial S ecurity and Migrations	15	3%
Health	15	3%
S ocial Rights and Agenda 2030	13	3%
Varios	11	2%
J ustice	8	2%
Territorial Policy	6	1%
Universities	4	1%
Equality	4	1%
Home Affairs	4	1%
Presidency, Relations with the Courts and Democratic Memory	3	1%
Consumption	2	0%
<b>Total</b>	<b>457</b>	<b>100%</b>

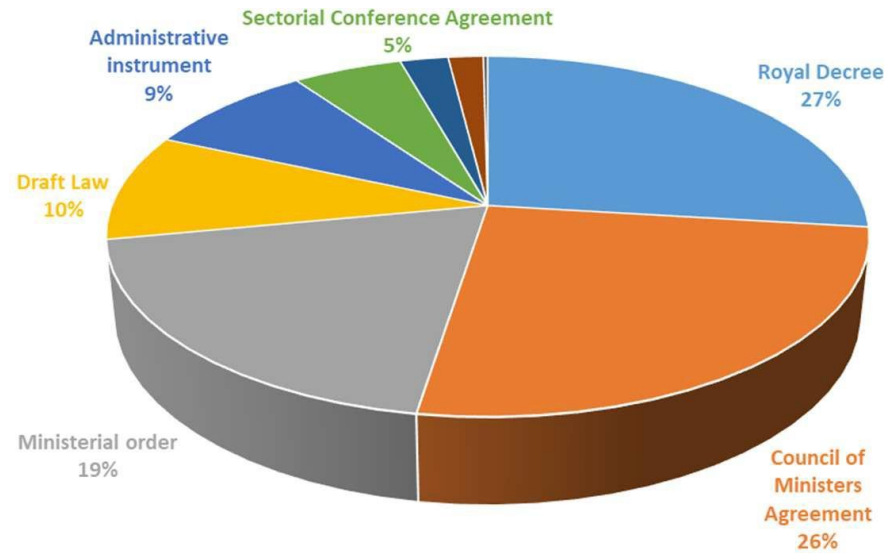
**457 regulatory instruments reviewed in all areas of competence since April 2021**





## Cross-cutting exercise: all instruments implementing reforms and investments

Variety of legal instruments



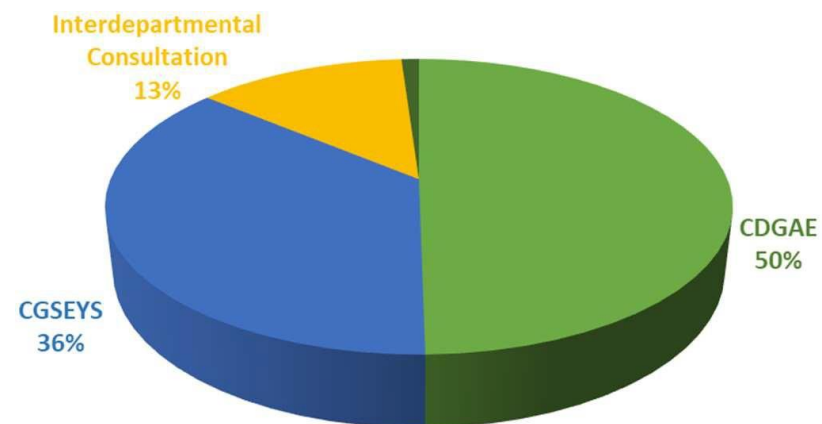
Type of rule or legal instrument	Rule number	%
Royal Decree	123	27%
Council of Ministers Agreement	117	26%
Ministerial order	89	19%
Draft Law	44	10%
Administrative instrument	39	9%
Sectorial Conference Agreement	25	5%
Resolution	11	2%
Royal decree law	8	2%
Others	1	0%
<b>Total</b>	<b>457</b>	<b>100%</b>



## Reports and consultations from different sources

Events	Report	%
Delegate Commission of the Government for Economic Affairs (CDGAE)	278	50%
General Commission of Secretaries of State and Undersecretaries (CGSEYS)	203	36%
Interdepartmental Consultation	72	13%
Sectorial Conference	6	1%
<b>Total</b>	<b>559</b>	<b>100%</b>

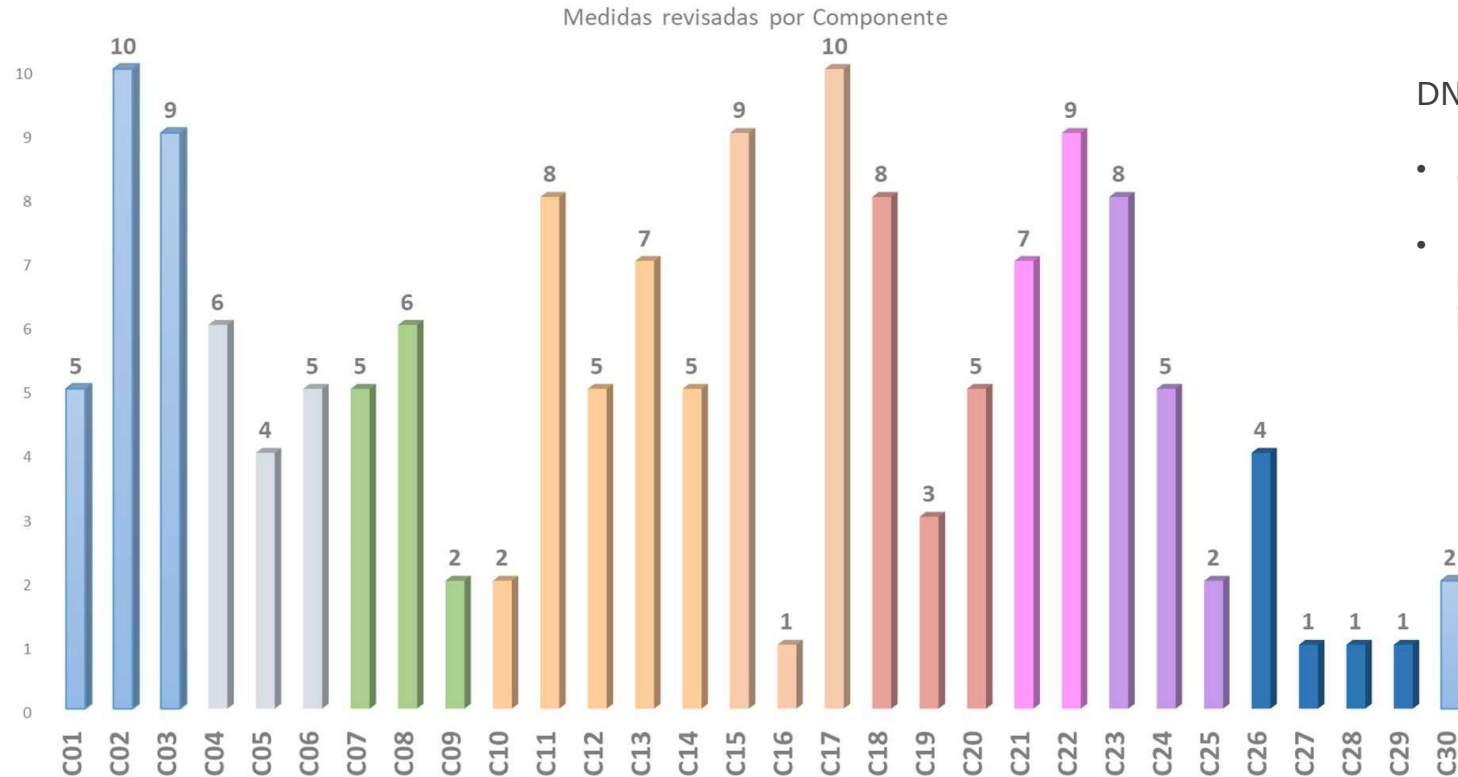
559 DNSH reports and reviews







## Horizontal exercise: DNSH reviews on PRTR measures and components



DNSH reviews to date:

- 30 Components PRTR
- 155 Measures (60 reforms and 95 investments)



## Spanish DNSH Guide

[https://www.miteco.gob.es/es/ministerio/recuperacion-transformacion-resiliencia/transicion-verde/guiadnshmitcov20\\_tcm30-528436.pdf](https://www.miteco.gob.es/es/ministerio/recuperacion-transformacion-resiliencia/transicion-verde/guiadnshmitcov20_tcm30-528436.pdf)

Purpose and contents:

- Summarize regulation and provide examples to clarify DNSH principle implementation to all Public Administrations
- Practical information on DNSH and green tagging
- Legal clauses for different instruments and phases of administrative procedures
- Self-assessment questionnaire
- Recommendations for specific activities







## Training on DNSH and climate tagging

At the National Institute for Public Administration, for all Ministries:

- Staff from all Departments, attendance 250 people online
- Newly enrolled civil servants (4 training courses on 2022)

At our Ministry for Ecological Transition and Demographic Challenge:

- staff dealing with the national recovery and resilience plan
- staff dealing with the European Regional Development Fund

Informative meetings:

- Environmental NGOs
- Network of Environmental Authorities (General State Administration, Autonomous Communities and the European Commission)
- Autonomous Communities

Webinars and technical sessions:

- Regional administrations (ongoing)
- Local entities (Spanish Federation of Municipalities and Provinces)



## Challenges identified

- Public administration's lack of DNSH/Taxonomy/Green expertise.
- Diversity of criteria on models and templates for each legal instrument implementing investments.
- Lack of a consolidated framework and resources in order to establish a common methodology and procedures for DNSH principle implementation.
- Integration of taxonomy into budgetary policy-making: Lack of expertise, resources, data and methodologies to assess environmental and climate effects



## Additional tasks: Green Budget

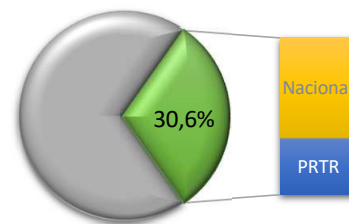
- ✓ **Law 7/2021 on Climate Change and Energy Transition:** GB Mandate Article 30.1
- ✓ **Recovery, Transformation and Resilience Plan:** Component 29 "Improving the efficiency of public spending" includes reform C29.R3 "Alignment of the General State Budget with the ecological transition (green budgeting)".

### Green Budget Working Group:

- Ministry of Finance: State Secretariat for Budget and Expenditure, Directorate General for State Budget
- Ministry for Ecological Transition and the Demographic Challenge: DNSH Division, Budget Office, Spanish Climate Change Office

### Report of Spanish budget alignment with ecological transition (New in 2023)

<https://www.sepg.pap.hacienda.gob.es/sitios/sepg/es-ES/Presupuestos/InformesImpacto/IAPGETE2023>



Percentage of eligible expenditure contributing to climate change mitigation and/or adaptation



THANK YOU



MINISTERIO  
PARA LA TRANSICIÓN ECOLÓGICA  
Y EL RETO DEMOGRÁFICO



Financiado por  
la Unión Europea  
NextGenerationEU



Plan de Recuperación,  
Transformación  
y Resiliencia



# Belgium

Creation of helpdesk on DNSH





**NEXT  
GEN**  
BELGIUM

## **Belgian Federal DNSH Expertise Center & Helpdesk**

**Stéphanie Baclin**  
FPS Health, DG Environment

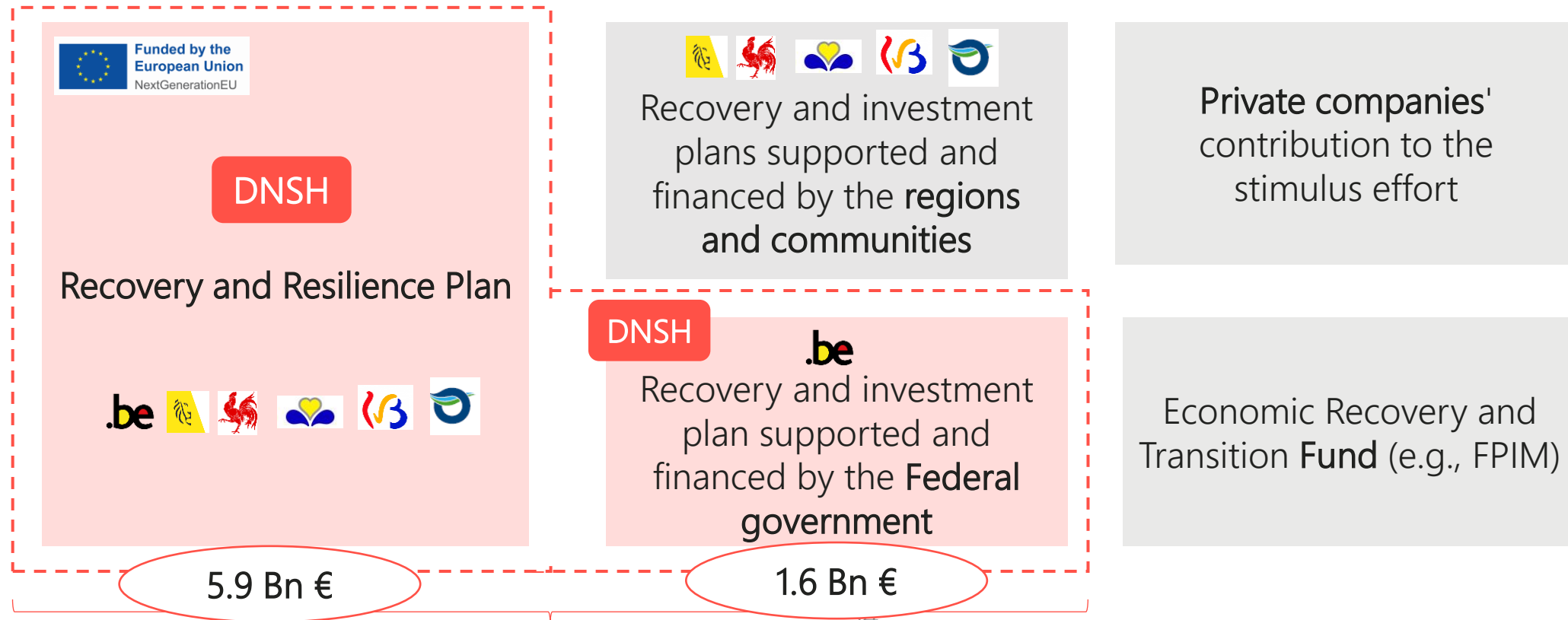


# Overview of the recovery effort in Belgium



Recovery at the BE level

~20 Bn €



Scope of Next Gen Belgium

# Governance of the Belgian RRP



## National audit committee

(General Inspectorate of finance)

## National DNSH network

*DNSH coordinators*

*Federal Planning Bureau*

Oversees the implementation and interpretation of the DNSH regulations

Exchange of best practices

Trainings

## National monitoring committee

*RRP coordinators*

Oversees the implementation of milestones and targets

Coordinates the preparation of each payment request and associated documents

Exchange of best practices

## Political Steering Committee

Prepares the interministerial conference

Takes the necessary actions to ensure smooth implementation

## Interministerial Conference

Ministers and Secretaries of State

Approves payment requests and related documents

## DNSH Expertise Center

Federal government decision in March 2022

# DNSH Expertise Center & Helpdesk



**Federal Public Service Health  
DG Environment**

## **Team** (2023)

One coordinator, four experts (legal, economist, bio engineers) and one administrative assistant

## **Next Gen project leaders & stakeholders**

(state actors and private companies)

Liaison with single points of contacts designated at the federated entities level for regional projects

**Helpdesk:** centralized point of contact for DNSH related enquiries.

**Collaborative approach:** assist federal project leaders during the entire life cycle of their projects (preparation, implementation and reporting).

**Expertise:** provide an evaluation of the self-assessments made by line ministries and companies.

**Capacity-building:** development of methodological tools (e.g. guidance, check-lists, templates, etc.) and training of line ministries in the use of such tools; training of public service companies (e.g. railroad) on DNSH principle and requirements.

**Representation:** participate in the national DNSH network

# DNSH actors in Belgium



**FPS Health, DG Environment**

**General Inspectorate of finance**



**National DNSH network**



**TSI European Commission**



**Private consultancy firm**

# Lessons learnt



- **Reach out fast and often** to line ministries: **communication** is key
- **Integrate** the **DNSH principle** early and in every relevant documents
- **Trainings** (200+ public servants)
- **Consolidate** information and **share them widely**
- Extending the DNSH principle **beyond the RRP**
- **Strong support from federal government** (+6 public servants)
- **Useful to develop practical tools** to assist enterprises in their ex-ante analyses



**[DNSH@health.fgov.be](mailto:DNSH@health.fgov.be)**

**[nextgenbelgium.be](http://nextgenbelgium.be)**





## Coffee break

*Stay connected. We will be back at 11:30*



# Panel discussion

Governance processes for applying the DNSH principle

## *Panelists*

Richard Juřík (CZ)

Lenka Růžičková (CZ)

Lucía Cobo (ES)

Stéphanie Baclin (BE)

Emma Terämä (FI)



Thank you for your participation

Webinar 2 - Thursday 2<sup>nd</sup> of February (10:00 - 12:30)

[DNSH@trinomics.eu](mailto:DNSH@trinomics.eu)

